

Trading off: the ecological effects of dam removal

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Dam removal is gaining credibility as a viable management option for dams that have deteriorated physically and are no longer economically practical. However, the decision to remove or repair a dam is often contentious and emotionally charged. Part of the acrimony arises from our limited scientific knowledge of the effects of dam removal. We believe that the ecological consequences are best understood by viewing the removal process as a disturbance. Ecological outcomes will include changes that are both environmentally costly, such as invasion of exotic species, and environmentally beneficial, such as increasing access to spawning habitats for migratory fish. It has also become apparent that the wholesale aging of the US dam infrastructure will make dam removal even more common in the future. The challenge ahead is to better understand and manage the consequences of these removals.

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Two phenomena emerged on the water management scene in the latter half of the 20th century. First, the supply of fresh water needed to sustain human populations became a major global concern (Postel 1997), bringing into focus questions about how best to manage this essential resource for present and future generations. Second, the world witnessed an unprecedented increase in the construction of large dams. At the end of World War II, there were approximately 5000 dams over 15 m high worldwide. By 1999, there were over 45 000 such structures, with a total estimated price tag of \$2 trillion (WCD 2001).

The increasing severity and extent of water shortages, despite dam building, raised the question of just how effective dams have been for the development and management of water and energy resources – a question taken on by the World Commission on Dams (WCD) in 1997. Four years later, the WCD concluded that, although dams have significantly contributed to human development and the benefits derived from dams have been considerable, the economic, social, and environmental price has been unacceptably high (WCD 2001). Furthermore, the

Commission noted the irony that, while most people approach important purchases with a healthy skepticism and a consideration of the alternatives before spending money, dams are being built and assigned value without such scrutiny. Resource-management groups have therefore begun to consider ways to reduce the cost of dams. It is in this context that a third trend in water management emerged at the end of the century, namely the removal of dams for which the costs seem to far outweigh the benefits.

To date, the debate over, and occurrence of, dam removal has been most vigorous in the US, where over 500 dams have been removed in the past two decades, in comparison to less than ten reported removals worldwide (WCD 2001; IRN 2002). We review the current understanding of the environmental effects of dam removal, drawing on the US experience. Our goal is to highlight the major ecological changes, in order to emphasize that this action is not an environmental panacea, but instead is best seen in terms of trade-offs. Most examples describe the removal of small dams (those that create reservoirs with a storage of 100 acre-ft [123 000 m³] or less; Heinz Center 2002), because few structures larger than 20 m have been removed (Poff and Hart 2002). We rely heavily on the accumulated knowledge and experience from the state of Wisconsin, where over 50 dams have been removed since 1967 (WDNR 2002), giving it one of the greatest legacies of dam removal in the country (Born *et al.* 1998). Despite the geographic and size limitations, the issues raised should be relevant to the removal of both large and small dams throughout the world.

In a nutshell:

- Dam removal is an increasingly common way of dealing with aging and uneconomical dams
- The resulting loss of reservoir habitat and movement of sediments can cause ecological and environmental change.
- Some of these changes could be beneficial – eg increased fish migration – but others may be costly – eg increased mortality among downstream aquatic communities

■ The ecological context

By blocking flow, dams raise water heights, inundate surrounding terrestrial habitats, and slow the velocity of flowing water in rivers. Sediments and debris that would

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Courtesy of CH Orr

Figure 1. The Boulder Creek dam, Wisconsin was constructed during the 1930s as part of a fish hatchery operation. The impoundment is now completely filled with sediments and has been colonized by grasses and weeds. The structurally compromised dam is scheduled for removal in 2003.

normally remain suspended in the water column and continue to move downstream instead settle out and collect within reservoirs. Accumulation is often so substantial that some reservoirs shift from their original function of water storage to becoming sediment storage devices (Figure 1). The filling process greatly decreases the functional lifespan of a reservoir (Palmieri *et al.* 2001) and increases the likelihood of eventual dam failure (Evans *et al.* 2000).

When a dam is removed, the river begins to recreate a

results in dramatic changes soon after dam removal (Figure 3), as extensive areas of featureless sediment and previously submerged structures come into view. Organisms present in the reservoir prior to removal may be washed downstream or stranded during surface water drawdown (Figure 4). Mortality rates of virtually all reservoir populations, except fish, will be extremely high and can be expected to approach 100% if dewatering is rapid.

For some groups of organisms, replacement of reservoir assemblages by more typical riverine taxa can occur relatively quickly after the dam is taken out. For example, fish and macroinvertebrates adapted to slow-moving water and silty sediments gave way to riverine taxa within a year of removal of two separate dams in Wisconsin (Kanehl *et al.* 1997; Stanley *et al.* 2002). Much to the delight of local anglers, changes in the fish community included declines in common carp (*Cyprinus carpio*) and increases in smallmouth bass (*Micropterus dolomieu*) and darters (*Etheostoma* and *Percina* spp.). In both studies, the recovery of riverine taxa reflected both recolonization of individuals that had previously resided upstream or downstream from the dam and successful reproduction within this newly created habitat.

Although quantitative studies of plant communities have yet to be completed, vegetation shifts follow-



Figure 2. A torrent of muddy brown water is released following the breaching of the Rockdale Dam, Wisconsin. Downstream concentrations of suspended sediment increased by three orders of magnitude over a 2-hour period and remained elevated over the next 3 days.

channel by cutting into the mound of accumulated sediment and transporting it downstream (Figure 2). Exposed sediment lateral to the forming channel dries and, over time, becomes more physically stable, giving rise to a new floodplain. Let us consider the ecological consequences of dam removal with respect to these processes of sediment exposure, erosion, and redistribution, as well as barrier removal and reestablishment of uninterrupted flow.

■ Changing of the guard

In the simplest sense, dam removal converts a reservoir into river and riparian habitats. Riverine species should therefore increase at the expense of reservoir taxa. Dewatering and elimination of the reservoir

ing dam removal appear to mirror those of their aquatic counterparts, although these changes play out over several years or decades. After removal, riparian vegetation along reservoir margins may eventually die due to the water table decline (Shafroth *et al.* 2002). This mortality is accompanied by the prompt colonization of newly exposed sediments (Figure 4). We have observed former reservoir areas “greening up” during the first growing season after removal at several sites in Wisconsin. The first colonists are usually fast-growing forbs and grasses, followed later by longer-lived species, including riparian trees. Because taking out dams creates “new” habitat, and because sediments are amenable to plant growth, dam removal may be a valuable tool for riparian restoration (Shafroth *et al.* 2002). However, widely available and often nutrient-rich sediment also represents prime habitat for invasion of weedy and exotic species that are generally considered undesirable (Shafroth *et al.* 2002). Observations of plant communities at several Wisconsin dam-removal sites show that species such as stinging nettle (*Urtica dioica*) and the invasive reed canary grass (*Phalaris arundinaceae*) are often abundant (CH Orr pers comm; Figure 5).

■ Reforming the river

The chief concern of the agencies responsible for removing a dam is the management of sediments within the reservoir (Shuman 1995; TCGRDHF 1997). Dam removal can result in decades of accumulated material being released downstream in a rapid and catastrophic fashion. In very small impoundments, or those with limited accumulation, sediments can be flushed out relatively rapidly (Stanley *et al.* 2002). In contrast, the development of new channels in larger reservoirs is a more prolonged and dynamic process that may sustain downstream sediment export for months or even years (Simons and Simons 1991; Doyle *et al.* in press *a*). Unfortunately, despite awareness of the importance of sediment management, there is remarkable uncertainty regarding patterns and rates of sediment transport following dam removal (Rathburn and Wohl 2001; Pizzuto 2002).

Common patterns of channel formation following reservoir drawdowns, or dam removals or failures, include an initial stage of vertical erosion in which deep, narrow (incised) channels form, followed by a period of lateral erosion in which steep banks fail, causing channels to widen and migrate laterally (Evans *et al.* 2000; Doyle *et al.* in press *b*). Thus, formerly impounded river reaches can become



Figure 3. The blank palette: lowering of the water level often exposes vast areas of reservoir sediment.

shifting and unpredictable mosaics of sediment and water as these formation and adjustment processes unfold. During an experimental drawdown of the Lake Mills Reservoir on the Elwha River, WA, in preparation for its removal in 2006, water levels were dropped by approximately 5.5 m to study channel formation and the sediment transport processes that will occur when the dam is eventually breached. Vertical incision and subsequent widening were observed in the exposed sediments and newly formed channels migrated across the sediment surface by as much as 24 m per day (Childers *et al.* 2000). The dramatic shifting and reconfiguring of channels provided only a hint of things to come in the Elwha, because the drawdown exper-



Figure 4. Out with the old, in with the new. Former reservoir residents such as carp suffer high mortality rates following dam removal, but newly exposed sediments provide an amenable substrate for plant colonization.



Courtesy of CH Orr

Figure 5. Exposed reservoir sediments are well suited for invasion by exotic species such as reed canary grass (*Phalaris arundinaceae*). Following removal of the Oak Street Dam, Wisconsin, exposed sediments were seeded with mixtures of native prairie plants. Two years later, reed canary grass has taken over and grows in large stands on former reservoir sediments.

iment represented only 20% of the elevation change that will occur with full dam removal.

■ The sediment legacy

As sediments accumulate over the years, they record a history of the reservoir and the surrounding watershed (Evans *et al.* 2000). Problems can arise when channel formation processes expose and transport material previously stored behind the dam. The removal of the Fort Edwards Dam on the Hudson River in New York State, perhaps one of the most infamous dam-removal cases, demonstrates this. Following partial removal of the Fort Edwards Dam in 1973, large quantities of oils and sediments rich in polychlorinated biphenyls (PCBs) were released into the river, requiring a costly cleanup effort (Shuman 1995). The sediment moved into the river, where it restricted flow and blocked the navigation channel and access to adjacent riverside businesses. The altered flow created an additional health hazard when sewage, discharged into the river by the town of Fort Edwards, could not be conveyed downstream (Heinz Center 2002). A second wave of contaminated sediments was mobilized in 1991, when the remaining structure was removed. The following year, average PCB concentrations in striped bass had doubled (HRF 2002).

Many US dams were originally built for industrial purposes, or to act as focal points for urban growth. This means that sediment contamination may not be unusual in these older reservoirs, adding additional costs and urgency to a removal process (Lenhart 2003). Although sediment testing is often performed prior to dam removals

(it is done routinely as part of the removal process in Wisconsin), deposits of contaminated sediment may be localized and difficult to detect. For example, 2980 m³ of sediments contaminated with polyaromatic hydrocarbons (PAHs) were discovered at one Wisconsin site, not by sediment testing but during the collection of invertebrate samples prior to removal (Stanley *et al.* 2002).

In many Midwestern states, reservoir sediments frequently contain a similar chemical legacy in the form of nutrient-rich particles derived from past and present agricultural activity (Stanley and Doyle 2002). Removal may then reintroduce nutrients that had been stored for decades, causing enrichment of downstream rivers, lakes, and even coastal areas. In support of this prediction, Gray and Ward (1982) found that the

flushing of sediments from the Guernsey Reservoir on the North Platte River caused a sixfold increase in downstream phosphorus concentrations and stimulated the growth of large filamentous green algal mats. Similarly, when the Capilano Reservoir in British Columbia was drawn down for structural improvements to the dam, ammonium in the sediments was released into the water column, increasing concentrations by two orders of magnitude over the 4-month period when the water level was being lowered (Perrin *et al.* 2000). Thus, there is the very real possibility that by adding to already elevated nutrient concentrations in rivers, dam removal will be at odds with nutrient management strategies in some parts of the US (Stanley and Doyle 2002).

■ Looking downstream

Sediments mobilized by channel formation processes in the reservoir are transported downstream, where they settle on channel beds and banks (Figure 6). The amount of suspended sediment increases greatly during and after drawdown and removal, often by three to five orders of magnitude (Childers *et al.* 2000; Doyle *et al.* in press a), and conditions of high turbidity may persist for months (Perrin *et al.* 2000). Because reservoirs trap fine particles, released material can remain suspended in the water column for several kilometers (Gray and Ward 1982). The ecological impact of suspended sediment specifically released by dam removal has not yet been considered, but many negative effects of both pulsed and sustained inputs of sediments to stream biota are well documented (Waters 1995).

Studies of both accidental and intentional sediment

releases from reservoirs have described a range of physical and ecological changes downstream from dams. Decreased streambed particle size, sediment deposition on lateral and in-channel bars, and filling of a downstream impoundment followed three small dam removals in Wisconsin (Stanley *et al.* 2002; Doyle *et al.* in press a). However, downstream sediment deposition does not always produce detectable changes in algal or invertebrate communities (Stanley *et al.* 2002, Bushaw-Newton *et al.* in press), either because the magnitude of impact is minimal or because the rate of recovery is rapid. In contrast, sediments released from a Colorado reservoir filled pools and clogged the interstitial spaces between coarse sediments in the channel bed up to 12 km below the reservoir (Wohl and Cenderelli 2000) and killed over 4000 fish (Rathburn and Wohl 2001). Declines in densities and shifts in species composition of macroinvertebrate communities were also observed (Zuellig *et al.* 2002). Similar patterns of fish and invertebrate mortality were reported by Doeg and Koehn (1994), following the desilting of a small reservoir in Australia. A second reduction in fish and invertebrate numbers also occurred several months later, demonstrating that the downstream effects of sediment releases may be prolonged as the material works its way through the system.

Ultimately, the effects of reservoir-derived deposition will depend on how sediments move into and through downstream reaches and the ecological attributes of the resident biota. Organisms with short generation times are able to recover quickly from sediment releases (Gray and Ward 1983), but long-lived species, especially sessile organisms, are more vulnerable. For instance, we have observed that deposition of fine sediments caused localized mortality of freshwater mussels following a dam removal in southern Wisconsin, a worrisome observation given the precarious conservation status of this group (Master 1990).

■ Overcoming hurdles

One of the most widely publicized ecological aspects of dam removal is the elimination of barriers to fish migration. As yet, we are unaware of published articles that

have documented changes in population sizes of migratory species following a removal, but fish moving into formerly inaccessible reaches have been reported for several rivers in the US (American Rivers *et al.* 1999) and France (ERN 2002). Following the removal of the Edwards Dam in Maine's Kennebec River, striped bass, alewife, shad, Atlantic salmon, and sturgeon all traveled past the former dam site (American Rivers 2002).

Despite these apparent successes, removal of dams as a means of restoring fish species that migrate up rivers to breed has been an area of contention in dam and fisheries management. In the US, this debate is well illustrated by the dams on the Lower Snake River, WA. While widespread and dramatic declines in salmon runs in the Pacific Northwest are clearly recognized, the best course of action for reversing these trends is less apparent. Dam removal alone will not restore native fish runs

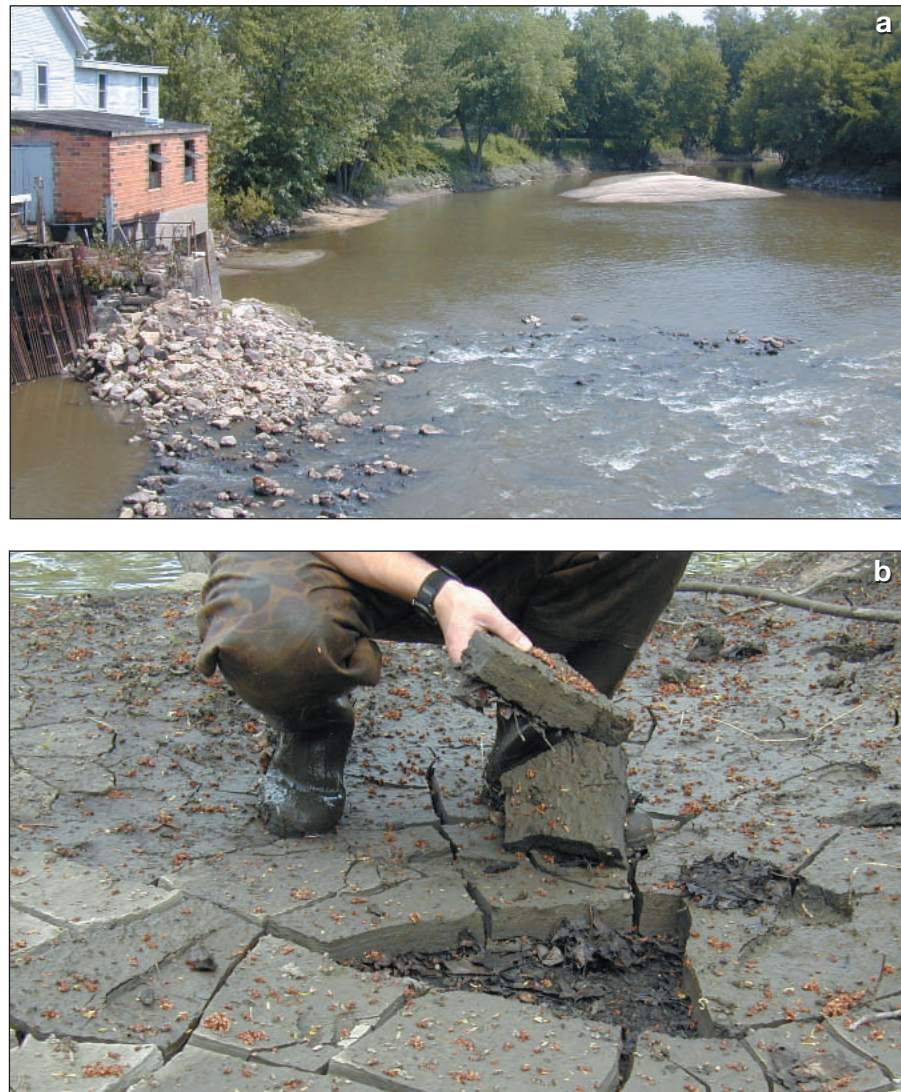


Figure 6. Once released from the reservoir, sediments travel downstream and settle out. (a) A large sand bar formed approximately 50 m below the former dam and mill site (building on the left) shortly after the removal of the LaValle Dam, on the Baraboo River, Wisconsin. (b) A layer of fine sediments blankets the banks of Koshkonong Creek at a site 4 km below the reservoir after removal of the Rockdale Dam, Wisconsin.

(NRC 1996) and may even create additional problems for the fishery. Indeed, the removal of the Snake River dams may do little to increase spring and summer Chinook salmon (Kareiva *et al.* 2000, but see Dambacher *et al.* 2001). In Oregon, the planned removal of the Marmot Dam on the Sandy River will give wild salmon access to long stretches of spawning habitat. However, this structure currently acts as a filter point for separating hatchery-raised from wild individuals and thus helps maintain the genetic integrity of the latter (ODFW 2000). Removal of the Marmot Dam, originally scheduled to occur in 2000, is now targeted for 2007. This will allow for the resolution of conflicting stakeholder issues, including the costs and benefits to salmon.

■ Conclusions

In this brief overview, the consequences of dam removal have been considered in terms of responses to physical changes caused by dewatering a reservoir and removing the dam structure, not as equal and opposite reactions to the effects of dams on rivers. There are two reasons for this perspective. First, dam removal occurs in circumstances far different from dam construction, since not only do dams change rivers over their lifetimes, but the area surrounding the dam also changes. Rather than erasing past environmental legacies, dam removal creates a new ecological template upon which subsequent physical, chemical, and biological processes will be played out. Second, regardless of the long-term outcomes, removing a dam is not a gentle process. It disrupts and reconfigures the existing physical environment and eliminates an entire ecosystem. Dam removal should therefore be considered a disturbance in the strict ecological sense of a “discrete event in time that disrupts ecosystem, community, or population structure, and changes resources, substrate availability, or the physical environment” (White and Pickett 1985). Also, because it is a disturbance, we should expect substantial changes in many ecological variables, including the loss of resident flora and fauna and the disruption of ecosystem processes, at least in the short term. Ecologists face key questions regarding the mechanisms and rates of change after the removal, and the longer-term trajectories of these changes.

Dam removal must be seen as a trade-off. Some of the results may be considered beneficial, while others are costly. Some management goals will be achieved quickly and easily, but others will be more elusive. It is unrealistic to assume that removal will simply and rapidly reverse the suite of conditions created by a dam's construction, and it may be foolhardy to minimize or ignore the fact that some outcomes are likely to represent environmental setbacks.

Ecological responses to dam removal and potential trade-offs will depend strongly on context. The specific nature of the trade-offs will depend on the size and configuration of the dam and reservoir, local legacies, and the

composition of the resident biota. Some simple and preliminary examples of regional trade-offs that have been documented to date include anadromous fish migration balanced against water use in the western US (Smith *et al.* 2000; Lenhart 2003), nutrient management versus fish habitat improvement in Midwestern states (Kanehl *et al.* 1997; Stanley and Doyle 2002), and ecosystem restoration versus increased flooding due to the loss of ice retention in the northeast (White and Moore 2002).

Finally, one must consider the current status of the science of dam removal. To date, management actions have led scientific research (Grant 2001; Babbitt 2002) and several important consequences of dam removal have not yet received any research attention. Virtually all the examples we cited involved relatively small dams with little or no effect on the river's flow regime or downstream water quality prior to their removal. Yet larger dams with substantial water storage capacity alter downstream flow regimes and water quality. How river ecosystems respond to reestablishment of a more natural flow regime remains an important area for future research, particularly with respect to resolving conflicting water needs for irrigation and sustainability of aquatic communities.

Finally, we suggest that endorsements for or against dam removal are often irrelevant. Dam management is unusual because the “no action” alternative may, in the end, be the most costly choice. It perpetuates the deleterious effects of dams while increasing the likelihood of uncontrolled release of water and sediments by dam failure. Reliable data are not available to determine if failures are becoming more prevalent, but more than 400 dams failed in the US between 1985 and 1994 (Graham 1998). Similarly, in a recent survey of 10 000 flood-control dams, over 2200 sites were in need of maintenance, at an estimated cost of \$543 million (NRCS 2000). Past dam failures have not only caused serious environmental damage, but also devastating losses of property and human lives (Graham 1998; Cenderelli 2000). Deteriorating structures must eventually be removed, repaired, or replaced to avoid these outcomes. The cost of repairing structural deterioration, particularly for dams that generate limited revenues, is likely to make dam removal the best course of action in many, but certainly not all, cases.

The debate is often separated into two parts: the removal of small dams for economic and safety reasons, and the removal of large dams, where discussions center on conflicting environmental and economic considerations (eg Hart *et al.* 2002; Heinz Center 2002). With time, this distinction will become increasingly blurred. Large dams will age and deteriorate just as older, smaller dams have. By 2020, the vast majority of large US dams will have reached or passed their intended life expectancy (Bednarek 2001). Many European dams were built in the first half of the 20th century and are now also reaching the end of their functional lifetime. According to one conservative estimate, in the next decade over 10 000 structures

in western and northern Europe are due for relicensing (which occurs every 40–60 years) (Epple 2002).

Financial and temporal realities dictate that, regardless of trade-offs, dam removal will become increasingly common. Indeed, this trend is already underway. In the US, fewer than 20 dam removals per decade were reported in the 1960s and 1970s, approximately 100 dams were removed in the 1980s, and 160 in the 1990s (Doyle *et al.* 2000; Poff and Hart 2002). In 2002 alone, American Rivers (2002) reports that 63 dams will be taken out in the US. Furthermore, although the vast majority of intentional removals have occurred in the US, interest in dam removal is not limited to this country. France and Norway have also undertaken removals for restoration purposes, and discussions regarding decommissioning are becoming increasingly common worldwide (IRN 2002). The World Commission on Dams report is particularly important in this context, as it concluded that decommissioning should always be considered as an option when operation and management of a dam are being evaluated.

While the costs of dam removal will never be completely eliminated, some expensive outcomes should be controllable through supplementary management actions, or by carefully choosing the timing and the means by which the dam is removed. The combination of management action and scientific ignorance regarding the consequences of dam removal is ironically reminiscent of the era of dam building in the US (Babbitt 2002), and suggests that we are in danger of making decisions with costly long-term effects. Because dam removal cannot be avoided, the challenge that lies ahead is to understand the relationship between the act of removal and ecological responses to this action.

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